

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/775,028	02/09/2004	Gerald Lesko	B6251.1653.4832

000378
DENNIS T. GRIGGS
17950 PRESTON ROAD
SUITE 1000
DALLAS, TX 75252

CONFIRMATION NO. 6940

FORMALITIES LETTER



OC000000012558265

Date Mailed: 05/06/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1); See Figure(s) 8, 10, 12-13, &15-17.

SUMMARY OF FEES DUE:

08/03/2004 FPAHAIHE 00000019 10775028

02 FC:2001
03 FC:2051

385.00 OP
65.00 OP

Total additional fee(s) required for this application is **\$450** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No.	10/775,028	Confirmation No.	6940
Applicant	Gerald LESKO		
Filed	02/09/2004		
Title	A Method and System for Connecting Pipe to a Top Drive Motor		
TC/A.U.			
Examiner			
Docket No.	B6251.1653.4832		
Customer No.	00378		

Mail Stop **MISSING PARTS**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attn: Initial Patent Examination Division

Sir:

COMPLETION OF FILING REQUIREMENTS

This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed May 6, 2004. Enclosed for filing in this patent application are:

1. Combined Declaration/Power of Attorney with attached specification and drawings as originally filed;
2. Statement by Attorney that papers attached to Declaration are a true copy of those filed in USPTO to obtain a filing date;
3. Form PTO-1533 - Notice to File Missing Parts of Application - Filing date Granted;
4. Formal drawings (18 sheets);
5. Filing fee (credit card authorization);
6. Instructions as to Underpayment/Overpayment of Fees;
7. Small entity declaration;
8. Other Authorization of Attorney to accept and follow instructions from Representative;
9. Petition for extension of time; and
10. Extension fee (credit card authorization).

SMALL ENTITY

Assertion of Small Entity Status: Applicant hereby asserts status as a **small entity** under 37 C.F.R. §1.27.

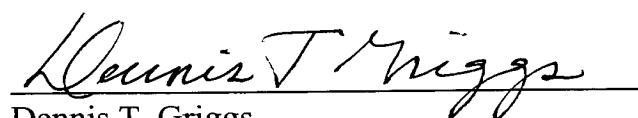
Fee Calculation

<input checked="" type="checkbox"/> Basic filing fee.	\$ 385	
<input checked="" type="checkbox"/> §1.16(e) - Small Entity - surcharge for late filing.	\$ 65	
	§1.16(e) - Large Entity - surcharge for late filing.	\$ _____
	§1.16(b) and (c) - Extra claims fee.	\$ _____
	Multiple Dependent Claim surcharge.	\$ _____
<input checked="" type="checkbox"/> Extension fee (one month)	\$ 55	
	TOTAL	\$ 505

Our credit card authorization in the amount of \$ 505.00 in payment of the filing fees is enclosed.

Respectfully submitted,

Date: July 27, 2004



Dennis T. Griggs
Registration No. 27,790
Attorney for Applicant

Griggs Bergen LLP
17950 Preston Road, Suite 1000
Dallas, Texas 75252
972-447-4569

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

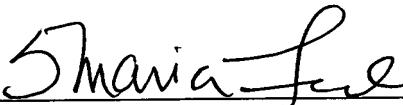
I hereby certify that this

COMPLETION OF FILING REQUIREMENTS

(along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop MISSING PARTS, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

S. Maria Tedesco
(Typed name of person mailing paper)

Date: July 27, 2004


(Signature of person mailing paper)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No.	10/775,028	Confirmation No. 6940
Applicant	Gerald LESKO	
Filed	02/09/2004	
Title	A Method and System for Connecting Pipe to a Top Drive Motor	
TC/A.U.		
Examiner		
Docket No.	B6251.1653.4832	
Customer No.	00378	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INSTRUCTIONS AS TO
UNDERPAYMENT/OVERPAYMENT OF FEES**

Sir:

1. UNDERPAYMENT

The Commissioner is hereby authorized to charge any fee underpayment relating to this Completion of Filing Requirements to:

Deposit Account No. 07-2156

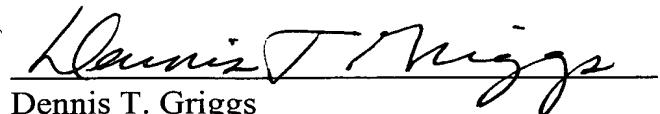
2. OVERPAYMENT

The Commissioner is hereby authorized to credit any fee overpayment relating to this Completion of Filing Requirements to:

Deposit Account No. 07-2156

Respectfully submitted,

Date: July 27, 2004


Dennis T. Griggs
Registration No. 27,790
Attorney for Applicant

Griggs Bergen LLP
17950 Preston Road, Suite 1000
Dallas, Texas 75252
(972) 447-4569

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this

INSTRUCTIONS AS TO UNDERPAYMENT/OVERPAYMENT OF FEES

(along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

S. Maria Tedesco
(Typed name of person mailing paper)

Date: July 27, 2004


(Signature of person mailing paper)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No.	10/775,028	Confirmation No. 6940
Applicant	Gerald LESKO	
Filed	02/09/2004	
Title	A Method and System for Connecting Pipe to a Top Drive Motor	
TC/A.U. Examiner		
Docket No.	B6251.1653.4832	
Customer No.	00378	

Mail Stop **MISSING PARTS**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attn: Initial Patent Examination Division

Sir:

STATEMENT BY ATTORNEY THAT PAPERS ATTACHED TO DECLARATION
ARE A COPY OF THOSE FILED IN PTO TO OBTAIN A FILING DATE

I, the undersigned attorney of record

Dennis T. Griggs
Griggs Bergen LLP
17950 Preston Road, Suite 1000
Dallas, Texas 75252

Registration No. 27,790

Telephone 972-447-4569

hereby state that I am the attorney for the applicant in this application and that I have
reviewed the

1 pages of abstract

18 pages of drawings

16 pages of specification

 pages of amendment

7 pages of claims

as shown in my files to be the papers that I filed in respect to the above-identified application and have compared them to the papers attached to the declaration(s) of

Gerald Lesko

for A Method and System for Connecting Pipe to a Top Drive Motor
(Title of Invention)

that accompany this statement and I declare that the papers attached to the declaration(s) are a true copy of the specification and any amendment thereto that I filed in the PTO in order to obtain a filing date for this application by:

deposit by hand at the PTO
 mailing to the PTO
 mailing to the PTO by Express Mail
bearing Mailing Label No. ET578783145 US
on February 9, 2004

Date: July 27, 2004



Dennis T. Griggs
Registration No. 27,790
Attorney for Applicant

Griggs Bergen LLP
17950 Preston Road, Suite 1000
Dallas, Texas 75252
(972) 447-4569

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this

**STATEMENT BY ATTORNEY THAT PAPERS ATTACHED TO DECLARATION ARE
A COPY OF THOSE FILED IN PTO TO OBTAIN A FILING DATE**

(along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop **MISSING PARTS**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attn: Initial Patent Examination Division

S. Maria Tedesco
(Typed name of person mailing paper)

Date: July 27, 2004


(Signature of person mailing paper)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPN. NO.	10/775,028	Confirmation No. 6940
Applicant Filed	Gerald LESKO 02/09/2004	
Title	A Method and System for Connecting Pipe to a Top Drive Motor	
TC/A.U. Examiner		
Docket No.	B6251.1653.4832	
Customer No.	00378	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SUBMISSION OF FORMAL DRAWINGS
FOR APPROVAL BY EXAMINER (37 C.F.R. § 1.123)**

Sir:

Please compare the attached formal drawings with those previously submitted. The attached drawings include:

18 sheets of formal drawings

for which the approval of the Examiner is requested.

Respectfully submitted,

Date: July 27, 2004


Dennis T. Griggs
Registration No. 27,790
Attorney for Applicant

Griggs Bergen LLP
17950 Preston Road, Suite 1000
Dallas, Texas 75252
(972) 447-4569

CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this

SUBMISSION OF FORMAL DRAWINGS

(along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

S. Maria Tedesco
(Typed name of person mailing paper)

Date: July 27, 2004


(Signature of person mailing paper)